

**A CLASS OF GENERALIZED INTEGRAL OPERATORS**

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ABSTRACT . In this paper , we introduce a class of generalized integral operators that includes Fourier integral operators . We establish some conditions on these operators such that they do not have bounded extension on  $L^2(\mathbb{R}^n)$ . This permit us in particular to construct a class of Fourier integral operators with bounded symbols in  $S_{1,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$  and in  $T_{0<\rho<1}S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$  which cannot be extended to bounded operators in  $L^2(\mathbb{R}^n)$ .

1 . INTRODUCTION

The integral operators of type

$$A\varphi(x) = \int e^{iS(x,\theta)} a(x, \theta) \mathcal{F}\varphi(\theta) d\theta \tag{1.1}$$

appear naturally for solving the hyperbolic partial differential equations and expressing the  $C^\infty$ - solution of the associate Cauchy problem ' s ( see e . g . [ 1 0 , 1 1 ] ) .

If we write formally the expression of the Fourier transform  $\mathcal{F}\varphi(\theta)$  in ( 1 . 1 ) , we obtain the following Fourier integral operators , so - called canonical transformations ,

$$A\varphi(x) = \int e^{i(S(x,\theta)-y\theta)} a(x, y, \theta) \varphi(y) dy d\theta \tag{1.2}$$

in which appear two  $C^\infty$ - functions , the phase function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$  and the amplitude  $a$  called the symbol of the operator  $A$ . In the particular case where  $S(x, \theta) = x\theta$ , one recovers the notion of pseudodifferential operators ( see e . g [ 6 , 1 5 ] ) .

Since 1 970 , many of Mathematicians have been interested to these type of operators : Duistermaat [ 3 ] , Hörmander [ 6 , 7 ] Kumano - Go [ 8 ] , and Fujiwara [ 2 ] . We mention also the works of Hasanov [ 4 ] , and the recent results of Messirdi Senoussaoui [ 1 2 ] and Aiboudi - Messirdi - Senoussaoui [ 1 ] .

In this paper we study a general class of integral operators including the class of Fourier integral operators , specially we are interested in their continuity on  $L^2(\mathbb{R}^n)$ .

The continuity of the operator  $A$  on  $L^2(\mathbb{R}^n)$  is guaranteed if the weight of the symbol  $a$  is bounded , if this weight tends to zero then  $A$  is compact on  $L^2(\mathbb{R}^n)$ ( see eg . [ 1 2 ] ) .

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If the symbol  $a$  is only bounded the associated Fourier integral operator  $A$  is not necessary bounded on  $L^2(\mathbb{R}^n)$ . Indeed , in 1 998 Hasanov [ 4 ] constructed an example of unbounded Fourier integral operators on  $L^2(\mathbb{R})$ .

Aiboudi - Messirdi - Senoussaoui [ 1 ] constructed recently in a class of Fourier integral operators with bounded symbols in the Hörmander class  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$  that cannot be extended to be a bounded operator in  $L^2(\mathbb{R}^n), n \geq 1$ .

These results of unboundedness was obtained by using the properties of the operators

$$B\varphi(x) = \int_{\mathbb{R}^n} k(z)\varphi((b(x)z + a(x))dz \quad (1.3)$$

on  $L^2(\mathbb{R}^n), n \geq 1$ , where  $k(z) \in S(\mathbb{R}^n)$ ( the space of  $C^\infty$ - functions on  $\mathbb{R}^n$ , whose derivatives decrease faster than any power of  $|x|$  as  $|x| \rightarrow +\infty$ ),  $a(x)$  and  $b(x)$  are real - valued , measurable functions on  $\mathbb{R}^n$ . Operators of type ( 1 . 3 ) was considered by Hasanov [ 4 ] and a slightly different way by Aiboudi Messirdi Senoussaoui [ 1 ] .

We also give in this paper a generalization of these results since we consider a class of integral operators which is general than thus of type ( 1 . 3 ) :

$$C\varphi(x) = \int_{\mathbb{R}^n} K(x, z)\varphi(F(x, z))dz \quad (1.4)$$

where  $K(x, z)$  and  $F(x, z)$  are real - valued , measurable functions on  $\mathbb{R}^{2n}$ . The generalized integral operator  $C$  includes Hilbert , Mellin and the Fourier - Bros - Iagolnitzer transforms which they has been used by many authors and for many purposes , in particular respectively by Hörmander [ 5 ] for the analysis of linear partial differential operators , Robert [ 1 3 ] about the functional calculus of pseudodifferential operators , Sjörstrand [ 1 4 ] in the area of microlocal and semiclassical analysis and Stein [ 1 5 ] for the study of singular integral operators .

The operators  $C$  appears also in the study of the width of the quantum resonances ( see e . g . [ 9 ] ) .

We shall discuss in the second section bounded extension problems for the class of operators type  $C$ . We give some technical conditions on the functions  $K(x, z)$  and  $F(x, z)$  such that  $C$  do not admit a bounded extension on  $L^2(\mathbb{R}^n)$ . We also indicate a connection between transformations  $C$  and Fourier integral operators .

In the third section , we construct an example of Fourier integral with bounded symbols belongs respectively to  $S_{1,1}^0(\mathbb{R}^n)$ , ( the case  $n = 1$  is given in [ 4 ] and generalized for  $n \geq 2$  in [ 1 ] ) , and  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0$  that cannot be extended as a bounded operator on  $L^2(\mathbb{R}^n), n \geq 2$ . In the case of the Hörmander symbolic class  $S_{1,1}^0(\mathbb{R}^n)$  our constructions are direct and technical .

## 2 . UNBOUNDEDNESS OF THE GENERALIZED INTEGRAL OPERATORS

In this section we construct a class of operators  $C$  that cannot be extended to be a bounded operator in  $L^2(\mathbb{R}^n), n \geq 1$ . We have first an easy boundedness criterion of the operator  $C$ .

$F(x, \cdot) \in C^1(\mathbb{R}^n)$ , and  $K(x, \cdot) \in L^2(\mathbb{R}^n)$  for all  $x \in \mathbb{R}^n$ .

Suppose that there exists a function  $g(x)$  such that

$$g(x) > 0, \quad \forall x \in \mathbb{R}^n$$

$$\left| \det \left( \frac{\partial F(x, z)}{\partial z} \right) \right| \geq g(x), \quad \forall x, z \in \mathbb{R}^n$$

$$\| K(x, \cdot) \|_{L^2(\mathbb{R}^n) / \sqrt{g(x)}} \in L^2(\mathbb{R}^n)$$

then  $C$  is a bounded operator on  $L^2(\mathbb{R}^n)$ . *Proof.* Using Hölder inequality and the change of variable  $y = F(x, z)$ , its inverse is denoted  $z = G(x, y)$ , we obtain for all  $\varphi \in L^2(\mathbb{R}^n)$ ,

$$\begin{aligned} \| C\varphi \|_{L^2(\mathbb{R}^n)} &= \int_{\mathbb{R}^n} \left| \int_{\mathbb{R}^n} K(x, z) \varphi(F(x, z)) dz \right|^2 dx \\ &\leq \int_{\mathbb{R}^n} \left[ \int_{\mathbb{R}^n} |K(x, z) \varphi(F(x, z))| dz \right]^2 dx \\ &\leq \int_{\mathbb{R}^n} \left[ \| K(x, \cdot) \|_{L^2(\mathbb{R}^n)} \int_{\mathbb{R}^n} |\varphi(F(x, z))|^2 dz \right] dx \\ &= \int_{\mathbb{R}^n} \left[ \| K(x, \cdot) \|_{L^2(\mathbb{R}^n)}^2 \int_{\mathbb{R}^n} |\varphi(y)|^2 \left| \det \left( \frac{\partial F(x, z)}{\partial z} \right)_{(z=G(x, y))} \right|^{-1} dy \right] dx \\ &\leq \| \varphi \|_{L^2(\mathbb{R}^n)}^2 \int_{\mathbb{R}^n} \frac{\| K(x, \cdot) \|_{L^2(\mathbb{R}^n)}^2}{g(x)} dx \end{aligned}$$

(2.1) hence  $C$  is bounded operator on  $L^2(\mathbb{R}^n)$  with  $\| C \| \leq M = \frac{\| K(x, \cdot) \|_{L^2(\mathbb{R}^n)}}{\sqrt{g(x)}} \| L^2(\mathbb{R}^n)$ .

□

Now we give the main result of this paper. We prove that under some conditions the operator  $C$  do not admit a bounded extension on  $L^2(\mathbb{R}^n)$ . **Theorem 2.2.** Let  $\delta \in ]0, 1[$  and the operator  $C$  defined by (1.4) on  $L^2(\mathbb{R}^n)$  for

$$x = (x_1, \dots, x_n) \in ]0, \delta]^n \text{ such that :}$$

(H1) For  $\varepsilon > 0$  and for all  $x \in \mathbb{R}^n$

$$\{z \in \mathbb{R}^n : |F(x, z)| \leq \varepsilon\} = \prod_{i=1}^n [a_i^-(x, \varepsilon), a_i^+(x, \varepsilon)]$$

where  $i_a^\pm(x, t)$  are real - measurable functions on  $\mathbb{R}^n \times ]0, +\infty[$  satisfying 1 - for any  $p \in \mathbb{N}^*$  and  $i \in \{1, \dots, n\}$ ,

$$\lim_{i_x \rightarrow 0^+} i_a^\pm(px, x_i) = \pm\infty$$

2 - for any  $\lambda \in ]0, 1[$ ,  $i \in \{1, \dots, n\}$  and  $p \in \mathbb{N}^*$ , the functions  $i_a^+(px, \lambda)$  and  $a_i^-(px, \lambda)$  are respectively decreasing and increasing with respect to  $x$

$$in]0, \delta[ \quad ^n$$

( H 2 ) *There exists a constant  $R > 0$  such that for any  $r \geq R$  and for all  $x \in ]0, \delta[$*

$$\left| \int_{[-r, r]^n} K(x, z) dz \right| \geq \delta$$

*Then the operator  $C$  cannot be extended to a bounded operator on  $L^2(\mathbb{R}^n)$ .*

$$\varphi_\varepsilon(x) = \begin{cases} 1, \\ 0, \end{cases} \text{ otherwise } \text{if } x \in [-\varepsilon, \varepsilon]^n \tag{2.2}$$

then  $\varphi_\varepsilon \in L^2(\mathbb{R}^n)$  for all  $\varepsilon > 0$  and we have

$$C\varphi_\varepsilon(x) = \int_{Q_{i=1}^n} [a_i^-(x, \varepsilon), i_a^+(x, \varepsilon)]K(x, z)dz$$

Consequently ,

$$C\varphi_{\varepsilon_j}(x) = \int_{Q_{i=1}^n} [a_i^-(x, \varepsilon_j), i_a^+(x, \varepsilon_j)]K(x, z)dz \tag{2.3}$$

where  $\varepsilon_j \geq 0$  and  $\lim_{j \rightarrow +\infty} \varepsilon_j = 0$ .

By condition 1 of the the assumption (H1), for any  $p \in \mathbb{N}^*$  there exists a number  $\varepsilon_p \geq 0$  such that

$$i_a^+(p\Lambda_p, \varepsilon_p) \geq R \tag{2.4}$$

and

$$a_i^-(p\Lambda_p, \varepsilon_p) \leq -R \tag{2.5}$$

for  $\Lambda_p = (\varepsilon_p, \varepsilon_p, \dots, \varepsilon_p)$ ,  $p\varepsilon_p \leq \delta < 1$  and  $i \in \{1, \dots, n\}$ .

It follows from ( 2 . 4 ) , ( 2 . 5 ) and condition 2 of the assumption ( H 1 ) that for  $x \in ]0, p\varepsilon_p]^n$  and  $i \in \{1, \dots, n\}$  we have

$$i_a^+(x, \varepsilon_p) \geq i_a^+(p\Lambda_p, \varepsilon_p) \geq R, \tag{2.6}$$

$$a_i^-(x, \varepsilon_p) \leq a_i^-(p\Lambda_p, \varepsilon_p) \leq -R \tag{2.7}$$

Finally using ( H 2 ) , ( 2 . 3 ) , ( 2 . 6 ) and ( 2 . 7 ) we deduce

$$\| C\varphi_{\varepsilon_p} \|_{L^2(\mathbb{R}^n)}^2 \geq \int_{]0, p\varepsilon_p]^n} | C\varphi_{\varepsilon_p}(x) |^2 dx \geq \delta^2 p^n \varepsilon_p^n \tag{2.8}$$

If we consider that  $C$  has a bounded extension to  $L^2(\mathbb{R}^n)$ , then by virtue of ( 2 . 1 ) we obtain for  $\varphi = \varphi_{\varepsilon_p} \in L^2(\mathbb{R}^n)$

$$\delta^2 p^n \varepsilon_p^n \leq \| C\varphi_{\varepsilon_p} \|_{L^2(\mathbb{R}^n)}^2 \leq M^2 \varepsilon_p^n$$

and for any  $p \in \mathbb{N}^*$

$$p^n \leq \frac{M^2}{\delta^2}$$

This is a contradiction . Consequently  $A$  cannot be a bounded operator in  $L^2(\mathbb{R}^n)$ .

□

**Remark 2 . 3 .** ( 1 ) If in particular  $K(x, z) = K(z)$  is independent on  $x$  and  $F(x, z) = b(x) \circ z + a(x)$ , where  $K(z)$  is a real - valued measurable function ,  $b(x), a(x) \in \mathbb{R}^n$  are

measurable functions on  $\mathbb{R}^n$ , we obtain the so - called generalized Hilbert transforms introduced in [ 4 ]

( 2 ) The operator  $C$  is an Fourier integral operator for an appropriate choice of the functions  $K(x, z)$  and  $F(x, z)$ .

$$\begin{aligned} C\varphi(x) &= \int_{\mathbb{R}^n} K(x, z)\varphi(F(x, z))dz \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{iz \cdot \xi} \mathcal{F}K(x, \xi)\varphi(F(x, z))d\xi dz, \end{aligned}$$

EJDE - 2019 / 88 GENERALIZED INTEGRAL OPERATORS 5 where  $\mathcal{F}K(x, \xi)$  is the Fourier transform of the partial function  $z \rightarrow K(x, z)$ . Set -  
 ting  $y = F(x, z)$  and  $z = G(x, y)$ , we have

$$C\varphi(x) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{iG(x,y)\cdot\xi} \mathcal{F}K(x, \xi) \varphi(y) \left| \det\left(\frac{\partial G}{\partial y}\right) \right| d\xi dy$$

which is a Fourier integral operator with the phase function  $\phi(x, y, \xi) = G(x, y)\cdot\xi$  and the symbol  $p(x, y, \xi) = \mathcal{F}K(x, \xi) \left| \det\left(\frac{\partial G}{\partial y}\right) \right|$  if  $K$  and  $G$  are infinitely regular with respect to  $x, y$  and  $\xi$ .

### 3 . A CLASS OF UNBOUNDED FOURIER INTEGRAL OPERATORS ON $L^2(\mathbb{R}^n)$

It follows from theorem 2 . 2 that with an appropriate choice of  $K(x, z)$  and  $F(x, z)$  we can construct a class of Fourier integral operators which cannot be extended as bounded operators on  $L^2(\mathbb{R}^n)$ .

An example of unbounded fourier integral operator with a symbol in  $S_{1,1}^0(\mathbb{R} \times \mathbb{R})$  and  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$  was given respectively in [ 4 ] and [ 1 ] , where if  $\rho \in \mathbb{R}$ ,

$$S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n) = \{p \mid \partial_x^\alpha \partial_y^\beta \theta^{\rho|\alpha|} \theta^{\rho|\beta|} \leq C_{\alpha,\beta} \lambda^{-\rho|\alpha| - \rho|\beta|} \mid \forall (\alpha, \beta) \in \mathbb{N}^n \times \mathbb{N}^n \exists C_{\alpha,\beta} > 0; \quad (3.1)$$

3 . 1 . **A class with symbols in  $S_{1,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$ .** Hre , we generalize the example given by Hasanov on  $\mathbb{R}$  to high dimensions . Namely , in the same spirit of [ 8 ] . we have easily if we get  $K(z) \in \mathcal{S}(\mathbb{R}^n)$  and  $b \in C^\infty(\mathbb{R}^n, \mathbb{R})$ .

**Proposition 3 . 1 .** *If  $K(z) \in \mathcal{S}(\mathbb{R}^n)$  and  $b \in C^\infty(\mathbb{R}^n, \mathbb{R})$ , then for all  $\alpha, \beta \in \mathbb{N}^n$  there exists  $C_{\alpha,\beta} > 0$  such that*

$$\left| \partial_x^\alpha \partial_\xi^\beta K(b(x)\xi) \right| \leq C_{\alpha,\beta} (1 + |\xi|)^{|\alpha| - |\beta|} \quad (3.2)$$

for all  $(x, \xi) \in [-1, 1]^n \times \mathbb{R}^n$ . Proof . It suffices to use the fact that  $K \in \mathcal{S}(\mathbb{R}^n)$  and  $b$  is bounded on  $[-1, 1]^n$ .  $\square$

Let also  $a = (a_1, a_2, \dots, a_n) \in C^\infty(\mathbb{R}^n, \mathbb{R}^n)$  such that  $a, b, K$  satisfy ( H 1 ) and ( H 2 ) , with

$$b(x) > 0$$

$$i_a^\pm(x, t) = \frac{\pm t + a_i(x)}{b(x)}, \quad t > 0, x \in \mathbb{R}^n \quad (3.3)$$

Then , for  $q(x, \xi) = K(b(x)\xi)$  defined on  $[-1, 1]^n \times \mathbb{R}^n$ , we have

$$\left| \partial_x^\alpha \partial_\xi^\beta q(x, \xi) \right| \leq C_{\alpha,\beta} (1 + |\xi|)^{|\alpha| - |\beta|}$$

on  $[-1, 1]^n \times \mathbb{R}^n$ ,  $\alpha, \beta \in \mathbb{N}^n$ ,  $C_{\alpha,\beta}$  being constants .

Thus ,  $q \in S_{1,1}^0([-1, 1]^n \times \mathbb{R}^n)$ , in particular  $q(x, \xi)$  is a well bounded symbol . Take a function  $\eta \in C_0^\infty(\mathbb{R}^n)$  with  $\text{supp } \eta \subset [-1, 1]^n$  and  $\eta(x) = 1$  for  $x \in [-\delta, \delta]^n$ ,  $\delta < 1$ . It is now obvious to see that the function  $p(x, \xi) = \eta(x)q(x, \xi) \in S_{1,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$ .

Now the Fourier integral operator defined by

$$C\varphi(x) = \int_{\mathbb{R}^{2n}} e^{-i(a(x)\cdot\xi + y\cdot\xi)} p(x, \xi) \varphi(\xi) dy d\xi$$

$$= \int_{\mathbb{R}^{2n}} e^{-i(a(x)\cdot\xi + y\cdot\xi)} \eta(x) K(b(x)\xi) \varphi(\xi) dy d\xi$$

$$C\varphi(x) = \int_{\mathbb{R}^{2n}} e^{-i\frac{(a(x)+t).s}{\beta(x)}} K(s) \frac{1}{bn(x)} \varphi(y) dy ds$$

Finally , if we pose  $\frac{a(x)+y}{b(x)} = z$ , we have

$$C\varphi(x) = \int \mathcal{F}K(z)\varphi(b(x)z - a(x)) dz$$

By theorem 2 . 2 , we conclude that the operator  $C$  cannot be extended as a bounded operator on  $L^2(\mathbb{R}^n)$ .

3 . 2 . **A class with symbols in**  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$ . We describe in this section the results of Aiboudi - Messirdi - Senoussaoui [ 1 ] , they constructed a class of unbounded Fourier integral operators with a separated variables phase function and a symbol in the Hörmander class  $\bigcap_{0 < \rho < 1} S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$ .

Precisely , let  $K \in S(\mathbb{R})$  with  $K(t) = 1$  on  $[-\delta, \delta]$  and  $b(t)$  is continuous function on  $[ 0 , 1 ]$  such that

$$\begin{aligned} b(t) &\in C^\infty(]0, 1]), \quad b(0) = 0, \quad b'(t) > 0 \text{ in } ]0, 1] \\ |b^{(k)}(t)| &\leq \frac{C_k}{t^k} \text{ in } ]0, 1], \quad k \in \mathbb{N}^*, C_k > 0 \end{aligned} \tag{3.4}$$

$\chi(x), \psi(\xi) \in C^\infty(\mathbb{R}^n, \mathbb{R})$  homogeneous of degree 1 . Thus the function

$$q(x, \xi) = e^{-i\chi(x)\psi(\xi)} \prod_{j=1}^n K(b(|x|) |x| \xi_j), \quad \xi = (\xi_1, \dots, \xi_n) \tag{3.5}$$

belongs to  $C^\infty([-1, 1]^n \times \mathbb{R}^n)$  and satisfies , as in the proposition 3 . 1 , the following estimates

**Proposition 3 . 2 .** For all  $\alpha, \beta$  in  $\mathbb{N}^n$ ,

$$\begin{aligned} |\partial_x^\alpha \partial_\xi^\beta q(x, \xi)| &\leq C_{\alpha\beta} \frac{(1+|\xi|)^{|\alpha| - |\beta|}}{((1+|\xi|) - 1)^{|\beta|}} \tag{3.6} \\ &\text{on } [-1, 1]^n \times \mathbb{R}^n \text{ where } C_{\alpha\beta} > 0. \end{aligned}$$

Now if  $\phi(x)$  is a  $C_0^\infty(\mathbb{R})$ - function such that

$$\begin{aligned} \phi(s) &= 1 \quad \text{on } [-\delta, \delta], \delta < 1 \\ \text{supp } \phi &\subset [-1, 1] \end{aligned}$$

define the global  $C^\infty$  symbol on  $\mathbb{R}^n \times \mathbb{R}^n$  by

$$\begin{aligned} p(x, \xi) &= e^{-i\chi(x)\psi(\xi)} \prod_{j=1}^n \phi(x_j) K(b(|x|) |x| \xi_j) \tag{3.7} \\ x &= (x_1, \dots, x_n), \quad \xi = (\xi_1, \dots, \xi_n). \end{aligned}$$

Then  $p(x, \xi) \in \bigcap_{0 < \rho < 1} S_{\rho,1}^0(\mathbb{R}^n \times \mathbb{R}^n)$  and the corresponding Fourier integral operator is



$$\begin{aligned}
C\varphi(x) &= \int_{\mathbb{R}^n} e^{i\chi(x)\psi(\xi)} p(x, \xi) \mathcal{F}\varphi(\xi) d\xi \\
&= \prod_n^{j=1} \phi(x_j) \int_{\mathbb{R}^n} K(b(|x|) |x| \xi_j) \mathcal{F}\varphi(\xi) d\xi
\end{aligned} \tag{3.8}$$

$$C\varphi(x) = \int_{\mathbb{R}^n} \varphi(b(|x|)|x|z) \prod_{j=1}^n \mathcal{F}K(z_j) d\xi, \quad z = (z_1, \dots, z_n) \quad (3.9)$$

which is of the form  $C$  in theorem 2.2 where the functions  $F(x, z) = b(|x|)|x|z$  and  $K(x, z) = \prod_{j=1}^n \mathcal{F}K(z_j)$  satisfy (H1) and (H2). Consequently, the operator  $C$  cannot be continuously extended on  $L^2(\mathbb{R}^n)$ .

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