

**L^2 – BOUNDEDNESS AND L^2 – COMPACTNESS OF A
 CLASS OF FOURIER INTEGRAL OPERATORS**

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ABSTRACT . In this paper , we study the L^2 – boundedness and L^2 – compactness
 of a class of Fourier integral operators . These operators are bounded (respec -
 tively compact) if the weight of the amplitude is bounded (respectively tends
 to 0) .

1 . INTRODUCTION

For $\varphi \in \mathcal{S}(\mathbb{R}^n)$ (the Schwartz space) , the integral operators

$$F\varphi(x) = \int e^{iS(x,\theta)} a(x,\theta) \mathcal{F}\varphi(\theta) d\theta \quad (1.1)$$

appear naturally in the expression of the solutions of the hyperbolic partial differ -
 ential equations and in the expression of the C^∞ – solution of the associate Cauchy ' s
 problem (see [5 , 10]) .

If we write formally the Fourier transformation $\mathcal{F}\varphi(\theta)$ in (1 . 1) , we obtain the
 following Fourier integral operators

$$F\varphi(x) = \int \int e^{i(S(x,\theta)-y\theta)} a(x,\theta) \varphi(y) dy d\theta \quad (1.2)$$

in which appear two C^∞ – functions , the phase function $\phi(x,y,\theta) = S(x,\theta) - y\theta$ and
 the amplitude a .

Since 1970 , many efforts have been made by several authors in order to study these
 type of operators (see , e . g . , [1 , 4 , 6 , 7 , 8 , 15]) . The first works on Fourier
 inte - gral operators deal with local properties . On the other hand , Asada and
 Fujiwara have studied for the first time a class of Fourier integral operators defined on
 \mathbb{R}^n .

For the Fourier integral operators , an interesting question is under which condi -
 tions on a and S these operators are bounded on L^2 or are compact on L^2 .

It has been proved in [1] by a very elaborated proof and with some hypothesis on
 the phase function ϕ and the amplitude a that all operators of the form (2 . 1)
 (see below) are bounded on L^2 . The technique used there is based on the fact that
 the operators $I(a,\phi)I^*(a,\phi), I^*(a,\phi)I(a,\phi)$ are pseudodifferential and it uses Cald é
 ron - Vaillancourt ' s theorem (here $I(a,\phi)^*$ is the adjoint of $I(a,\phi)$).

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In this work , we apply the same technique of [1] to establish the boundedness and the compactness of the operators (1 . 2) . To this end we give a brief and simple proof for a result of [1] in our framework .

We mainly prove the continuity of the operator F on $L^2(\mathbb{R}^n)$ when the weight of the amplitude a is bounded . Moreover , F is compact on $L^2(\mathbb{R}^n)$ if this weight tends to zero . Using the estimate given in [1 2] for h - pseudodifferential (h - admissible) operators , we also establish an L^2 - estimate of $\| F \|$.

We note that if the amplitude a is just bounded , the Fourier integral operator F is not necessarily bounded on $L^2(\mathbb{R}^n)$. Recently , Hasanov [6] and Messirdi - Senoussaoui [1 1] constructed a class of unbounded Fourier integral operators with an amplitude in the Hörmander ' s class $S_{1,1}^0$ and in $\bigcap_{0<\rho<1} S_{\rho,1}^0$.

To our knowledge , this work constitutes a first attempt to diagonalize the Fourier integral operators on $L^2(\mathbb{R}^n)$ (relying on the compactness of these operators) .

Let us now describe the plan of this article . In the second section we recall the continuity of some general class of Fourier integral operators on $\mathcal{S}(\mathbb{R}^n)$ and on $\mathcal{S}'(\mathbb{R}^n)$. The assumptions and preliminaries results are given in the third section . The last section is devoted to prove the main result .

2 . A GENERAL CLASS OF FOURIER INTEGRAL OPERATORS

If $\varphi \in \mathcal{S}(\mathbb{R}^n)$, we consider the following integral transformations

$$(I(a, \phi)\varphi)(x) = \int \int_{\mathbb{R}_y^n \times \mathbb{R}_\theta^N} e^{i\phi(x,\theta,y)} a(x, \theta, y) \varphi(y) dy d\theta \quad (2.1)$$

where , $x \in \mathbb{R}^n, n \in \mathbb{N}^*$ and $N \in \mathbb{N}$ (if $N = 0, \theta$ doesn ' t appear in (2 . 1)) .

In general the integral (2 . 1) is not absolutely convergent , so we use the technique of the oscillatory integral developed by Hörmander in [8] . The phase function ϕ and the amplitude a are assumed to satisfy the following hypothesis :

(H 1) $\phi \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n, \mathbb{R})$ (ϕ is a real function)

(H 2) For all $(\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n$, there exists $C_{\alpha,\beta,\gamma} > 0$ such that

$$| \partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha \phi(x, \theta, y) | \leq C_{\alpha,\beta,\gamma} \lambda^{(2-|\alpha|-|\beta|-|\gamma|)} + (x, \theta, y)$$

where $\lambda(x, \theta, y) = (1 + |x|^2 + |\theta|^2 + |y|^2)^{1/2}$ is called the weight and

$$(2 - |\alpha| - |\beta| - |\gamma|)_+ = \max(2 - |\alpha| - |\beta| - |\gamma|, 0)$$

(H 3) There exist $K_1, K_2 > 0$ such that

$$K_1 \lambda(x, \theta, y) \leq \lambda(\partial_y \phi, \partial_\theta \phi, y) \leq K_2 \lambda(x, \theta, y), \quad \forall (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$$

(H 3*) There exist $K_1^*, K_2^* > 0$ such that

$$K_1^* \lambda(x, \theta, y) \leq \lambda(x, \partial_\theta \phi, \partial_x \phi) \leq K_2^* \lambda(x, \theta, y), \quad \forall (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n.$$

For any open subset Ω of $\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n, \mu \in \mathbb{R}$ and $\rho \in [0, 1]$, we set

$$\Gamma_\rho^\mu(\Omega) = \{a \in C^\infty(\Omega) : \forall (\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n, \exists C_{\alpha,\beta,\gamma} > 0 : \\ | \partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha a(x, \theta, y) | \leq C_{\alpha,\beta,\gamma} \lambda^{\mu-\rho(|\alpha|+|\beta|+|\gamma|)}(x, \theta, y)\}$$

When $\Omega = \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$, we denote $\Gamma_\rho^\mu(\Omega) = \Gamma_\rho^\mu$.

EJDE –206/26 L^2 -BOUNDEDNESS AND L^2 -COMPACTNESS 3 To give a meaning to the right hand side of (2.1), we consider $g \in \mathcal{S}(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n)$, $g(0) = 1$. If $a \in \Gamma_0^\mu$, we define

$$a_\sigma(x, \theta, y) = g(x/\sigma, \theta/\sigma, y/\sigma)a(x, \theta, y), \quad \sigma > 0.$$

Now we are able to state the following result.

Theorem 2.1. *If ϕ satisfies (H1), (H2), (H3) and (H3*), and if $a \in \Gamma_0^\mu$, then*

1. *For all $\varphi \in \mathcal{S}(\mathbb{R}^n)$, $\lim_{\sigma \rightarrow +\infty} [I(a_\sigma, \phi)\varphi](x)$ exists for every point $x \in \mathbb{R}^n$ and is independent of the choice of the function g . We define*

$$(I(a, \phi)\varphi)(x) := \lim_{\sigma \rightarrow +\infty}^{(I(a_\sigma, \phi))} \varphi(x)$$

2. $I(a, \phi) \in \mathcal{L}(\mathcal{S}(\mathbb{R}^n))$ and $I(a, \phi) \in \mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$ (here $\mathcal{L}(E)$ is the space of bounded linear mapping from E to E and $\mathcal{S}'(\mathbb{R}^n)$ the space of all distributions with temperate growth on \mathbb{R}^n).

The proof of the above theorem can be found in [7] or in [12, proposition II.2].

Example 2.2. Let us give two examples of operators of the form (2.1) which satisfy

(H1) – (H3*):

(1) The Fourier transform $\mathcal{F}\psi(x) = \int_{\mathbb{R}^n} e^{-ixy}\psi(y)dy$, $\psi \in \mathcal{S}(\mathbb{R}^n)$, (2) Pseudodifferential operators

$$A\psi(x) = (2\pi)^{-n} \int_{\mathbb{R}^{2n}} e^{i(x-y)\theta} a(x, y, \theta)\psi(y)dyd\theta,$$

with $\psi \in \mathcal{S}(\mathbb{R}^n)$, $a \in \Gamma_0^\mu(\mathbb{R}^{3n})$.

3. ASSUMPTIONS AND PRELIMINARIES In this paper we consider the special form of the phase function

$$\phi(x, y, \theta) = S(x, \theta) - y\theta \tag{3.1}$$

where S satisfies

$$(G1) \quad S \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^n, \mathbb{R}),$$

(G2) For each $(\alpha, \beta) \in \mathbb{N}^{2n}$, there exist $C_{\alpha, \beta} > 0$, such that

$$|\partial_x^\alpha \partial_\theta^\beta S(x, \theta)| \leq C_{\alpha, \beta} \lambda(x, \theta)^{(2-|\alpha|-|\beta|)_+},$$

(G3) There exists $C_1 > 0$ such that $|x| \leq C_1 \lambda(\theta, \partial_\theta S)$, for all $(x, \theta) \in \mathbb{R}^{2n}$,

(G3*) There exists $C_2 > 0$, such that $|\theta| \leq C_2 \lambda(x, \partial_x S)$, for all $(x, \theta) \in \mathbb{R}^{2n}$.

Proposition 3.1. *Let's assume that S satisfies (G1), (G2), (G3) and (G3*). Then*

the function $\phi(x, y, \theta) = S(x, \theta) - y\theta$ satisfies (H1), (H2), (H3) and (H3). Proof.* (H1) and (H2) are trivially satisfied. The condition (G3) implies

$$\lambda(x, \theta, y) \leq \lambda(x, \theta) + \lambda(y) \leq C_3(\lambda(\theta, \partial_\theta S) + \lambda(y)), \quad C_3 > 0.$$

Also, we have $\partial_{y_j} \phi = -\theta_j$ and $\partial_{\theta_j} \phi = \partial_{\theta_j} S - y_j$ and so

$$\lambda(\theta, \partial_\theta S) = \lambda(\partial_y \phi, \partial_\theta \phi + y) \leq 2\lambda(\partial_y \phi, \partial_\theta \phi, y),$$

which finally gives for some $C_4 > 0$,

$$\lambda(x, \theta, y) \leq C_3(2\lambda(\partial_y \phi, \partial_\theta \phi, y) + \lambda(y)) \leq \frac{1}{C_4}\lambda(\partial_y \phi, \partial_\theta \phi, y)$$

The second inequality in (H 3) is a consequence of the assumption (G 2) . By a similar argument we can show (H 3*). \square

4 B . MESSIRDI , A . S ENOUSSAOUI EJDE - 2 6 / 2 6 We now introduce the assumption
 (G 4) There exists $\delta_0 > 0$ such that

$$\inf_{\theta, x \in \mathbb{R}^n} \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right| \geq \delta_0.$$

We note that if $\phi(x, y, \theta) = S(x, \theta) - y\theta$, then

$$D(\phi)(x, \theta, y) = \begin{pmatrix} \frac{\partial^2 \phi}{\partial x \partial y} & \frac{\partial^2 \phi}{\partial x \partial \theta} \\ \frac{\partial^2 \phi}{\partial y \partial x} & \frac{\partial^2 \phi}{\partial y \partial \theta} \end{pmatrix} \begin{pmatrix} x \\ \theta \\ y \end{pmatrix} = \begin{pmatrix} 0 & -I_n \\ -I_n & \frac{\partial^2 S}{\partial x \partial \theta} \end{pmatrix} \begin{pmatrix} x \\ \theta \end{pmatrix}$$

and

$$\left| \det D(\phi)(x, \theta, y) \right| = \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right| \geq \delta_0.$$

Remark 3 . 2 . By the global implicit function theorem (cf . [1 4] , [3 , theorem 4 . 1 . 7])

and using (G 1) , (G 2) and (G 4) , we can easily see that the mappings h_1 and h_2 defined by

$$h_1 : (x, \theta) \rightarrow (x, \partial_x S(x, \theta)), \quad h_2 : (x, \theta) \rightarrow (\theta, \partial_\theta S(x, \theta))$$

are global diffeomorphism of \mathbb{R}^{2n} . Indeed ,

$$h'_1(x, \theta) = \begin{pmatrix} I_n & \frac{\partial^2 S}{\partial x \partial \theta} \\ 0 & \frac{\partial^2 S}{\partial x \partial \theta} \end{pmatrix} \begin{pmatrix} x \\ \theta \end{pmatrix}, \quad h'_2(x, \theta) = \begin{pmatrix} 0 & \frac{\partial^2 S}{\partial \theta \partial \theta} \\ I_n & \frac{\partial^2 S}{\partial x \partial \theta} \end{pmatrix} \begin{pmatrix} x \\ \theta \end{pmatrix}.$$

and $\left| \det h'_1(x, \theta) \right| = \left| \det h'_2(x, \theta) \right| = \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right| \geq \delta_0 > 0$, for all $(x, \theta) \in \mathbb{R}^{2n}$. Then

$$\begin{aligned} \left\| (h'_1(x, \theta))^{-1} \right\| &= \frac{1}{\left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|} \left\| t_A(x, \theta) \right\| \\ \left\| (h'_2(x, \theta))^{-1} \right\| &= \frac{1}{\left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|} \left\| t_B(x, \theta) \right\|, \end{aligned}$$

where $A(x, \theta), B(x, \theta)$ are respectively the cofactor matrix of $h'_1(x, \theta), h'_2(x, \theta)$. By (G 2) , we know that $\left\| t_A(x, \theta) \right\|$ and $\left\| t_B(x, \theta) \right\|$ are uniformly bounded .

Let ' s now assume that S satisfies the following condition which is stronger than (G 2) .

(G 5) For all $(\alpha, \beta) \in \mathbb{N}^n \times \mathbb{N}^n$, there exist $C_{\alpha, \beta} > 0$, such that

$$\left| \partial_x^\alpha \partial_\theta^\beta S(x, \theta) \right| \leq C_{\alpha, \beta} \lambda(x, \theta)^{(2 - |\alpha| - |\beta|)}.$$

Lemma 3 . 3 . If S satisfies (G 1) , (G 4) and (G 5) , then S satisfies (G 3) and (G 3*). Also there exists $C_5 > 0$ such that for all $(x, \theta), (x', \theta') \in \mathbb{R}^{2n}$,

$$\left| x - x' \right| + \left| \theta - \theta' \right| \leq C_5 \left[\left| (\partial_\theta S)(x, \theta) - (\partial_\theta S)(x', \theta') \right| + \left| \theta - \theta' \right| \right] \quad (3.2)$$

Proof . The mappings

$$\mathbb{R}^n \ni \theta \rightarrow f_x(\theta) = \partial_x S(x, \theta), \quad \mathbb{R}^n \ni x \rightarrow g_\theta(x) = \partial_\theta S(x, \theta)$$

are global diffeomorphisms of \mathbb{R}^n . From (G 4) and (G 5) , it follows that $\left\| (f_x^{-1})' \right\|$,

$\| (g_\theta^{-1})' \|$ and $\| (h_2^{-1})' \|$ are uniformly bounded on \mathbb{R}^{2n} . Thus (G 5) and the Taylor ' s theorem lead to the following estimates : There exist $M, N > 0$, such that for all

$$(x, \theta), (x', \theta') \in \mathbb{R}^{2n},$$

$$|\theta| = |f_x^{-1}(f_x(\theta)) - f_x^{-1}(f_x(0))| \leq M | \partial_x S(x, \theta) - \partial_x S(x, 0) | \leq C_6 \lambda(x, \partial_x S),$$

$$\begin{aligned}
 & \text{with } C_6 > 0; \\
 |x| &= |g_\theta^{-1}(g\theta(\theta)) - g_\theta^{-1}(g\theta(0))| \leq N |\partial_\theta S(x, \theta) - \partial_\theta S(0, \theta)| \leq C_7 \lambda(\partial_\theta S, \theta), \\
 & \text{with } C_7 > 0; \\
 |(x, \theta) - (x', \theta')| &= |h_2^{-1}(h_2(x, \theta)) - h_2^{-1}(h_2(x', \theta'))| \\
 & \leq C_5 |(\theta, \partial_\theta S(x, \theta)) - (\theta', \partial_\theta S(x', \theta'))| \\
 & \quad \square
 \end{aligned}$$

When $\theta = \theta'$ in (3.2), there exists $C_5 > 0$, such that for all $(x, x', \theta) \in \mathbb{R}^{3n}$,

$$|x - x'| \leq C_5 |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(x', \theta)|. \tag{3.3}$$

Proposition 3.4. *If S satisfies (G1) and (G5), then there exists a constant $\epsilon_0 > 0$ such that the phase function ϕ given in (3.1) belongs to $\Gamma_1^2(\Omega_{\phi, \epsilon_0})$ where*

$$\Omega_{\phi, \epsilon_0} = \{(x, \theta, y) \in \mathbb{R}^{3n}; \quad |\partial_\theta S(x, \theta) - y|^2 < \epsilon_0(|x|^2 + |y|^2 + |\theta|^2)\}.$$

Proof f -period We have to show that : There exists $\epsilon_0 > 0$, such that for all $\alpha, \beta, \gamma \in \mathbb{N}^n$,

$$\begin{aligned}
 & \text{there exist } C_{\alpha, \beta, \gamma} > 0 : \\
 |\partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y)| & \leq C_{\alpha, \beta, \gamma} \lambda(x, \theta, y)^{(2-|\alpha|-|\beta|-|\gamma|)}, \quad \forall (x, \theta, y) \in \Omega_{\phi, \epsilon_0}. \tag{3.4}
 \end{aligned}$$

If $|\gamma| = 1$, then

$$|\partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y)| = |\partial_x^\alpha \partial_\theta^\beta (-\theta)| = \begin{cases} 0 & \text{if } |\alpha| = 0 \\ |\partial_\theta^\beta (-\theta)| & \text{if } \alpha = 0; \end{cases}$$

If $|\gamma| > 1$, then $|\partial_x^\alpha \partial_\theta^\beta \partial_y^\gamma \phi(x, \theta, y)| = 0$.

Hence the estimate (3.4) is satisfied .

If $|\gamma| = 0$, then for all $\alpha, \beta \in \mathbb{N}^n; |\alpha| + |\beta| \leq 2$, there exists $C_{\alpha, \beta} > 0$ such that

$$|\partial_x^\alpha \partial_\theta^\beta \phi(x, \theta, y)| = |\partial_x^\alpha \partial_\theta^\beta S(x, \theta) - \partial_x^\alpha \partial_\theta^\beta (y\theta)| \leq C_{\alpha, \beta} \lambda(x, \theta, y)^{(2-|\alpha|-|\beta|)}.$$

If $|\alpha| + |\beta| > 2$, one has $\partial_x^\alpha \partial_\theta^\beta \phi(x, \theta, y) = \partial_x^\alpha \partial_\theta^\beta S(x, \theta)$. In $\Omega_{\phi, \epsilon_0}$ we have

$$|y| = |\partial_\theta S(x, \theta) - y - \partial_\theta S(x, \theta)| \leq \sqrt{\epsilon_0}(|x|^2 + |y|^2 + |\theta|^2)^{1/2} + C_8 \lambda(x, \theta),$$

with $C_8 > 0$. For ϵ_0 sufficiently small, we obtain a constant $C_9 > 0$ such that

$$|y| \leq C_9 \lambda(x, \theta), \quad \forall (x, \theta, y) \in \Omega_{\phi, \epsilon_0}. \tag{3.5}$$

This inequality leads to the equivalence

$$\lambda(x, \theta, y) \simeq \lambda(x, \theta) \quad \text{in } \Omega_{\phi, \epsilon_0} \tag{3.6}$$

thus the assumption (G5) and (3.6) give the estimate (3.4). \square

Using (3.6), we have the following result . **Proposition 3.5.** *If $(x, \theta) \rightarrow a(x, \theta)$ belongs to $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n)$, then $(x, \theta, y) \rightarrow a(x, \theta)$ belongs to $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n \times \mathbb{R}_y^n) \cap \Gamma_k^m(\Omega_{\phi, \epsilon_0})$, $k \in \{0, 1\}$.*

4. L^2 -BOUNDEDNESS AND L^2 -COMPACTNESS OF F

The main result is as follows .

Theorem 4 . 1 . Let F be the integral operator of distribution kernel

$$K(x, y) = \int_{\mathbb{R}^n} e^{i(S(x, \theta) - y\theta)} a(x, \theta) \widehat{d}\theta \quad (4.1)$$

where $\widehat{d}\theta = (2\pi)^{-n} d\theta$, $a \in \Gamma_k^m(\mathbb{R}_x^{2n}, \theta)$, $k = 0, 1$ and S satisfies (G1), (G4) and (G5).

Then FF^* and F^*F are pseudodifferential operators with symbol in $\Gamma_k^{2m}(\mathbb{R}^{2n})$, $k = 0, 1$, given by

$$\begin{aligned} \sigma(FF^*)(x, \partial_x S(x, \theta)) &\equiv |a(x, \theta)|^2 \left(\det \frac{\partial^2 S}{\partial \theta \partial x} \right)^{-1}(x, \theta) | \\ \sigma(F^*F)(\partial_\theta S(x, \theta), \theta) &\equiv |a(x, \theta)|^2 \left(\det \frac{\partial^2 S}{\partial \theta \partial x} \right)^{-1}(x, \theta) | \end{aligned}$$

we denote here $a \equiv b$ for $a, b \in \Gamma_k^{2p}(\mathbb{R}^{2n})$ if $(a - b) \in \Gamma_k^{2p-2}(\mathbb{R}^{2n})$ and σ stands for the symbol . Proof . If $u \in \mathcal{S}(\mathbb{R}^n)$, then $Fu(x)$ is given by

$$\begin{aligned} Fu(x) &= \int_{\mathbb{R}^n} K(x, y) u(y) dy \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i(S(x, \theta) - y\theta)} a(x, \theta) u(y) dy \widehat{d}\theta \\ &= \int_{\mathbb{R}^n} e^{iS(x, \theta)} a(x, \theta) \mathcal{F}u(\theta) \widehat{d}\theta. \end{aligned} \quad (4.2)$$

Here F is a continuous linear mapping from $\mathcal{S}(\mathbb{R}^n)$ to $\mathcal{S}(\mathbb{R}^n)$ (by Theorem 2 . 1) . Let

$v \in \mathcal{S}(\mathbb{R}^n)$, then

$$\begin{aligned} \langle Fu, v \rangle_{L^2(\mathbb{R}^n)} &= \int_{\mathbb{R}^n} \left(\int_{\mathbb{R}^n} e^{iS(x, \theta)} a(x, \theta) \mathcal{F}u(\theta) \widehat{d}\theta \right) v(x) dx \\ &= \int_{\mathbb{R}^n} \mathcal{F}u(\theta) \left(\int_{\mathbb{R}^n} e^{iS(x, \theta)} a(x, \theta) v(x) dx \right) \widehat{d}\theta \end{aligned}$$

thus

$$\langle Fu(x), v(x) \rangle_{L^2(\mathbb{R}^n)} = (2\pi)^{-n} \langle \mathcal{F}u(\theta), \mathcal{F}((F^*v))(\theta) \rangle_{L^2(\mathbb{R}^n)}$$

where

$$\mathcal{F}((F^*v))(\theta) = \int_{\mathbb{R}^n} e^{-iS(x, \theta)} a(x, \theta) v(x) dx. \quad (4.3)$$

Hence , for all $v \in \mathcal{S}(\mathbb{R}^n)$,

$$(FF^*v)(x) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i(S(x, \theta) - S(x, \theta))} a(x, \theta) a(x, \theta) v(x) dx \widehat{d}\theta. \quad (4.4)$$

The main idea to show that FF^* is a pseudodifferential operator , is to use the fact that $(S(x, \theta) - S(\tilde{x}, \theta))$ can be expressed by the scalar product $\langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle$

after considering the change of variables $(x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \xi = \xi(x, \tilde{x}, \theta))$.
The distribution kernel of FF^* is

$$K(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i(S(x, \theta) - S(\tilde{x}, \theta))} a(x, \theta) \frac{d\theta}{|J|}.$$

EJDE -206/26 L^2 -BOUNDEDNESS AND L^2 -COMPACTNESS 7 We obtain from (3.3) that if $|x - \tilde{x}| \geq \frac{\epsilon}{2} \lambda(x, \tilde{x}, \theta)$ (where $\epsilon > 0$ is sufficiently small) then

$$|(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)| \geq \frac{\epsilon}{2C_5} \lambda(x, \tilde{x}, \theta). \quad (4.5)$$

Choosing $\omega \in C^\infty(\mathbb{R})$ such that

$$\begin{aligned} \omega(x) &\geq 0, \quad \forall x \in \mathbb{R} \\ \omega(x) &= 1 \quad \text{if } x \in \left[-\frac{1}{2}, \frac{1}{2}\right] \\ \text{supp } \omega &\subset]-1, 1[\end{aligned}$$

and setting

$$\begin{aligned} b(x, \tilde{x}, \theta) &:= a(x, \theta) - a(\tilde{x}, \theta) = b_{1,\epsilon}(x, \tilde{x}, \theta) + b_{2,\epsilon}(x, \tilde{x}, \theta) \\ b_{1,\epsilon}(x, \tilde{x}, \theta) &= \omega\left(\frac{|x - \tilde{x}|}{\epsilon \lambda(x, \tilde{x}, \theta)}\right) b(x, \tilde{x}, \theta) \\ b_{2,\epsilon}(x, \tilde{x}, \theta) &= \left[1 - \omega\left(\frac{|x - \tilde{x}|}{\epsilon \lambda(x, \tilde{x}, \theta)}\right)\right] b(x, \tilde{x}, \theta). \end{aligned}$$

We have $K(x, \tilde{x}) = K_{1,\epsilon}(x, \tilde{x}) + K_{2,\epsilon}(x, \tilde{x})$, where

$$K_{j,\epsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i(S(x,\theta) - S(\tilde{x},\theta))} b_{j,\epsilon}(x, \tilde{x}, \theta) \hat{d}\theta, \quad j = 1, 2.$$

We will study separately the kernels $K_{1,\epsilon}$ and $K_{2,\epsilon}$.

On the support of $b_{2,\epsilon}$, inequality (4.5) is satisfied and we have

$$K_{2,\epsilon}(x, \tilde{x}) \in \mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n).$$

Indeed, using the oscillatory integral method, there is a linear partial differential operator L of order 1 such that

$$L(e^{i(S(x,\theta) - S(\tilde{x},\theta))}) = e^{i(S(x,\theta) - S(\tilde{x},\theta))}$$

where

$$L = -i \sum_{l=1}^n |(\partial_\theta S)(x, \theta) - (\partial_\theta S)(\tilde{x}, \theta)|^{-2} \sum_{l=1}^n [(\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)] \partial_{\theta_l}.$$

The transpose operator of L is

$$\begin{aligned}
& \qquad \qquad \qquad n \\
t_L &= \sum_{l=1}^n F_l(x, \tilde{x}, \theta) \partial_{\theta_l} + G(x, \tilde{x}, \theta) \\
& \qquad \qquad \qquad l=1 \\
& \text{where } F_l(x, \tilde{x}, \theta) \in \Gamma_0^{-1}(\Omega_\epsilon), G(x, \tilde{x}, \theta) \in \Gamma_0^{-2}(\Omega_\epsilon), \\
F_l(x, \tilde{x}, \theta) &= i |(\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)|^{-2} ((\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)), \\
& \qquad \qquad \qquad n \\
G(x, \tilde{x}, \theta) &= i \sum_{l=1}^n \partial_{\theta_l} [|(\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta)|^{-2} ((\partial_{\theta_l} S)(x, \theta) - (\partial_{\theta_l} S)(\tilde{x}, \theta))], \\
& \qquad \qquad \qquad l=1 \\
\Omega_\epsilon &= \{(x, \tilde{x}, \theta) \in \mathbb{R}^{3n} : |\partial_{\theta_l} S(x, \theta) - \partial_{\theta_l} S(\tilde{x}, \theta)| > \frac{\epsilon}{2C_5} \lambda(x, \tilde{x}, \theta)\}.
\end{aligned}$$

On the other hand we prove by induction on q that

$$\begin{aligned}
({}^t L)^q b_{2,\epsilon}(x, \tilde{x}, \theta) &= \sum_{|\gamma| \leq q} g_{\gamma,q}(x, \tilde{x}, \theta) \partial_{\theta}^{\gamma} b_{2,\epsilon}(x, \tilde{x}, \theta), \quad \gamma_g^{(q)} \in \Gamma_0^{-q}(\Omega_\epsilon), \\
& \qquad \qquad \qquad |\gamma| \leq q, \gamma \in \mathbb{N}^n
\end{aligned}$$

$$K_{2,\epsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i(S(x,\theta) - S(\tilde{x},\theta))} ({}^tL)^q b_{2,\epsilon}(x, \tilde{x}, \theta) \widehat{d}\theta.$$

Using Leibnitz ' s formula , (G 5) and the form $({}^tL)^q$, we can choose q large enough such that for all $\alpha, \alpha', \beta, \beta' \in \mathbb{N}^n, \exists C_{\alpha,\alpha',\beta,\beta'} > 0$,

$$\sup_{\substack{x, \\ \tilde{x} - \epsilon \in \mathbb{R}^n}} |x^\alpha \tilde{x}^{\alpha'} \partial_x^\beta \partial_{\tilde{x}-\epsilon}^{\beta'} K_{2,\epsilon}(x, \tilde{x})| \leq C_{\alpha,\alpha',\beta,\beta'}.$$

Next , we study K_1^ϵ : this is more difficult and depends on the choice of the parameter ϵ . It follows from Taylor ' s formula that

$$\begin{aligned} S(x, \theta) - S(\tilde{x}, \theta) &= \langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle \mathbb{R}^n, \\ \xi(x, \tilde{x}, \theta) &= \int_0^1 (\partial_x S)(\tilde{x} + t(x - \tilde{x}), \theta) dt. \end{aligned}$$

We define the vectorial function

$$\tilde{\xi}_\epsilon(x, \tilde{x}, \theta) = \omega\left(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)}\right) \xi(x, \tilde{x}, \theta) + \left(1 - \omega\left(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)}\right)\right) (\partial_x S)(\tilde{x}, \theta).$$

We have

$$\tilde{\xi}_\epsilon(x, \tilde{x}, \theta) = \xi(x, \tilde{x}, \theta) \text{ on } \text{supp} b_{1,\epsilon}.$$

Moreover , for ϵ sufficiently small ,

$$\lambda(x, \theta) \simeq \lambda(\tilde{x}, \theta) \simeq \lambda(x, \tilde{x}, \theta) \text{ on } \text{supp} b_{1,\epsilon}. \tag{4.6}$$

Let us consider the mapping

$$\mathbb{R}^{3n} \ni (x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \tilde{\xi}_\epsilon(x, \tilde{x}, \theta)) \tag{4.7}$$

for which Jacobian matrix is

$$\begin{pmatrix} I_n & 0 & 0 \\ 0 & I_n & 0 \\ \partial_x \tilde{\xi}_\epsilon & \partial_{\tilde{x}-\epsilon} \tilde{\xi}_\epsilon & \partial_\theta \tilde{\xi}_\epsilon \end{pmatrix}.$$

We have

$$\begin{aligned} & \frac{\partial \tilde{\xi}_{\epsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) \\ &= \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) + \omega\left(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)}\right) \left(\frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta)\right) \\ & - \frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)} \frac{\partial \lambda}{\partial \theta_i}(x, \tilde{x}, \theta) \lambda^{-1}(x, \tilde{x}, \theta) \omega'\left(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)}\right) (\xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta)). \end{aligned}$$

Thus , we obtain

$$\begin{aligned}
& \left| \frac{\partial \tilde{\xi}_{\epsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \\
& \leq |\omega(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)})| \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \\
& + \lambda^{-1}(x, \tilde{x}, \theta) |\omega'(\frac{|x - \tilde{x}|}{2\epsilon\lambda(x, \tilde{x}, \theta)})| |\xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta)|.
\end{aligned}$$

$$\begin{aligned} \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| &\leq \int_0^1 \left| \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| dt \\ &\leq C_{10} |x - \tilde{x}| \lambda^{-1}(x, \tilde{x}, \theta), \quad C_{10} > 0 \end{aligned} \tag{4.8}$$

$$\begin{aligned} \left| \xi_j(x, \tilde{x}, \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right| &\leq \int_0^1 \left| \frac{\partial S}{\partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial S}{\partial x_j}(\tilde{x}, \theta) \right| dt \\ &\leq C_{11} |x - \tilde{x}|, \quad C_{11} > 0. \end{aligned} \tag{4.9}$$

From (4 . 8) and (4 . 9) , there exists a positive constant $C_{12} > 0$ such that

$$\left| \frac{\partial \tilde{\xi}_{\epsilon, j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 S}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \leq C_{12} \epsilon, \quad \forall i, j \in \{1, \dots, n\}. \tag{4.10}$$

If $\epsilon < \frac{\delta_0}{2C - \epsilon}$, then (4 . 1 0) and (G 4) yields the estimate

$$\delta_0/2 \leq -\tilde{C}_\epsilon + \delta_0 \leq -\tilde{C}_\epsilon + \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \leq \det \partial_\theta \tilde{\xi}_\epsilon(x, \tilde{x}, \theta), \tag{4.11}$$

with $\tilde{C} > 0$ If ϵ is such that (4 . 6) and (4 . 1 1) hold , then the mapping given in (4 . 7) is a global diffeomorphism of \mathbb{R}^{3n} . Hence there exists a mapping

$$\theta : \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}^n \ni (x, \tilde{x}, \xi) \rightarrow \theta(x, \tilde{x}, \xi) \in \mathbb{R}^n$$

such that

$$\begin{aligned} \tilde{\xi}_\epsilon(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) &= \xi \\ \theta(x, \tilde{x}, \tilde{\xi}_\epsilon(x, \tilde{x}, \theta)) &= x \\ \partial^\alpha \theta(x, \tilde{x}, \xi) &= \mathcal{O}(1), \quad \forall \alpha \in \mathbb{N}^{3n} \setminus \{0\} \end{aligned} \tag{4.12}$$

If we change the variable ξ by $\theta(x, \tilde{x}, \xi)$ in $K_{1, \epsilon}(x, \tilde{x})$, we obtain

$$K_{1, \epsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{i(x - \tilde{x}, \xi)} b_{1, \epsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right| \hat{a} \xi. \tag{4.13}$$

From (4 . 1 2) we have , for $k = 0, 1$, that $b_{1, \epsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right|$ belongs

$$\text{to } \Gamma_k^{2m}(\mathbb{R}^{3n}) \text{ if } a \in \Gamma_k^m(\mathbb{R}^{2n}).$$

Applying the stationary phase theorem (c . f . [1 2]) to 4 . 1 3 , we obtain the expres - sion of the symbol of the pseudodifferential operator FF^* ,

$$\sigma(FF^*) = b_{1, \epsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right| |e - x = x + R(x, \xi)|$$

where $R(x, \xi)$ belongs to $\Gamma_k^{2m-2}(\mathbb{R}^{2n})$ if $a \in \Gamma_k^m(\mathbb{R}^{2n})$, $k = 0, 1$.

For $\tilde{x} = x$, we have $b_{1, \epsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) = |a(x, \theta(x, x, \xi))|^2$ where $\theta(x, x, \xi)$ is the inverse of the mapping $\theta \rightarrow \partial_x S(x, \theta) = \xi$. Thus

$$\sigma(FF^*)(x, \partial_x S(x, \theta)) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial \theta \partial x}(x, \theta) \right|^{-1}$$

10 B. MESSIRDI, A. S. ENOUSSAOUI EJDE - 26 / 26 From (4.2) and (4.3), we obtain the expression of $F^*F : \forall v \in \mathcal{S}(\mathbb{R}^n)$,

$$\begin{aligned} (\mathcal{F}(F^*F)\mathcal{F}^{-1})v(\theta) &= \int_{\mathbb{R}^n} e^{-iS(x,\theta)} a(x,\theta)(\mathcal{F}(\mathcal{F}^{-1}v))(x)dx \\ &= \int_{\mathbb{R}^n} e^{-iS(x,\theta)} a(x,\theta) \left(\int_{\mathbb{R}^n} e^{iS(x,\theta)-iS(x,\tilde{\theta})} a(x,\tilde{\theta})(\mathcal{F}(\mathcal{F}^{-1}v))(\tilde{\theta})d\tilde{\theta} \right) dx \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{-i(S(x,\theta)-S(x,\tilde{\theta}))} a(x,\theta)a(x,\tilde{\theta})v(\tilde{\theta})d\tilde{\theta}dx. \end{aligned}$$

Hence the distribution kernel of the integral operator $\mathcal{F}(F^*F)\mathcal{F}^{-1}$ is

$$\tilde{K}(\theta, \tilde{\theta}) = \int_{\mathbb{R}^n} e^{-i(S(x,\theta)-S(x,\tilde{\theta}))} a(x,\theta)a(x,\tilde{\theta})d\tilde{\theta}.$$

We remark that we can deduce $\tilde{K}(\theta, \tilde{\theta})$ from $K(x, \tilde{x})$ by replacing x by θ . On the other hand, all assumptions used here are symmetrical on x and θ ; therefore, $\mathcal{F}(F^*F)\mathcal{F}^{-1}$ is a nice pseudodifferential operator with symbol

$$\sigma(\mathcal{F}(F^*F)\mathcal{F}^{-1})(\theta, -\partial_{\theta}S(x, \theta)) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|^{-1}$$

Thus the symbol of F^*F is given by (c. f. [9])

$$\sigma(F^*F)(\partial_{\theta}S(x, \theta), \theta) \equiv |a(x, \theta)|^2 \left| \det \frac{\partial^2 S}{\partial x \partial \theta}(x, \theta) \right|^{-1}$$

□

Corollary 4.2. Let F be the integral operator with the distribution kernel

$$K(x, y) = \int_{\mathbb{R}^n} e^{i(S(x,\theta)-y\theta)} a(x, \theta)d\theta$$

where $a \in \Gamma_0^m(\mathbb{R}_{x,\theta}^{2n})$ and S satisfies (G1), (G4) and (G5). Then, we have :

(1) For any m such that $m \leq 0$, F can be extended as a bounded linear mapping

$$\text{on } L^2(\mathbb{R}^n)$$

(2) For any m such that $m < 0$, F can be extended as a compact operator on

$$L^2(\mathbb{R}^n).$$

Proof f -period It follows from Theorem 4.1 that F^*F is a pseudodifferential operator with

$$\text{symbol in } \Gamma_0^{2m}(\mathbb{R}^{2n}).$$

(1) If $m \leq 0$, the weight $\lambda^{2m}(x, \theta)$ is bounded, so we can apply the Calderón-Vaillancourt theorem (see [2, 12, 13]) for F^*F and obtain the existence of a positive constant $\gamma(n)$ and an integer $k(n)$ such that

$$\| (F^*F)u \|_{L^2(\mathbb{R}^n)} \leq \gamma(n) Qk(n)^{(\sigma(F^*F))} \| u \|_{L^2(\mathbb{R}^n)}, \quad \forall u \in \mathcal{S}(\mathbb{R}^n)$$

where

$$Qk(n)^{(\sigma(F F^*))} = |\alpha| + \sum_{|\beta| \leq} k(n)(\theta_{,x}^{\text{sup}}) \in \mathbb{R}^2 n |\partial_x^\alpha \partial_\theta^\beta \sigma(F F^*)(\partial_\theta S(x, \theta), \theta)|$$

Hence , for all $u \in \mathcal{S}(\mathbb{R}^n)$,

$$\| F u \|_{L^2(\mathbb{R}^n)} \leq \| F^* F \|_{\mathcal{L}(L^2(\mathbb{R}^n))}^{1/2} \| u \|_{L^2(\mathbb{R}^n)} \leq (\gamma(n) Q_{k(n)}(\sigma(F F^*)))^{1/2} \| u \|_{L^2(\mathbb{R}^n)}.$$

Thus F is also a bounded linear operator on $L^2(\mathbb{R}^n)$. (2) If $m < 0$, $\lim_{|x|+|\theta| \rightarrow +\infty} \lambda^m(x, \theta) = 0$, and the compactness theorem (see [1 2 , 1 3]) show that the operator $F^* F$ can be extended as a compact operator on $L^2(\mathbb{R}^n)$.

EJDE -206/26 L^2 -BOUNDEDNESS AND L^2 -COMPACTNESS 11 Thus, the Fourier integral operator F is compact on $L^2(\mathbb{R}^n)$. Indeed, let $(\varphi_j)_j \in \mathbb{N}$ be an orthonormal basis of $L^2(\mathbb{R}^n)$, then

$$\|F^*F - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F^*F\varphi_j\| \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

Since F is bounded, for all $\psi \in L^2(\mathbb{R}^n)$,

$$\|F\psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle F\varphi_j\|_2 \leq \|F^*F\psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle F^*F\varphi_j\| \|\psi - \sum_{j=1}^n \langle \varphi_j, \psi \rangle \varphi_j\|,$$

it follows that

$$\|F - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F\varphi_j\| \rightarrow 0 \quad \text{as } n \rightarrow +\infty$$

□

Example 4.3. We consider the function given by

$$S(x, \theta) = \sum_{|\alpha| + |\beta| = 2, \alpha, \beta \in \mathbb{N}^n} C_{\alpha, \beta} x^\alpha \theta^\beta, \quad \text{for } (x, \theta) \in \mathbb{R}^{2n}$$

where $C_{\alpha, \beta}$ are real constants. This function satisfies (G1), (G4) and (G5).

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